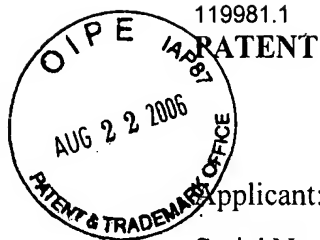


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PATENT

GRUNECK 0005-US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: LENDLEIN, *et al.* Examiner: To be assigned  
Serial No.: 10/510,889 Group Art Unit: 1615  
Filed: October 8, 2004  
For: Method of Achieving a Shape Memory Effect on Hair Using Cationic Agents  
Customer No.: 23719 Kalow & Springut LLP  
488 Madison Avenue, 19th Floor  
New York, New York 10022

August 17, 2006

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, Applicants wish to make known to the Patent and Trademark Office the references set forth on the attached form PTO/SB/08A & B. Applicants do not admit that the references are "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such references. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with Applicants' duty to disclose all information of which they are aware and believe relevant to the examination of the above-identified application, Applicants believe that their invention is patentable.

**Certificate of Mailing Under 37 C.F.R. 1.8**

I hereby certify that this correspondence is being deposited on the date shown below with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Mark Daly  
(Signature)

Mark Daly  
(Printed Name of Person Signing Certificate)

August 17, 2006  
(Date)

3

### **I. U.S. PATENT DOCUMENTS**

<b>Patent No.</b>	<b>Date Issued</b>	<b>Title</b>
5,362,486 to NANDAGIRI, <i>et al.</i>	November 8, 1994	In-situ polymerization of oligomers onto hair

### **II. U.S. PATENT APPLICATION PUBLICATIONS**

<b>Publication No.</b>	<b>Date Issued</b>	<b>Title</b>
US 2006/0088494 to LENDLEIN, <i>et al.</i>	April 27, 2006	Method of treating hair with form memory polymers
US 2006/0140892 to LENDLEIN, <i>et al.</i>	June 26, 1999	Method for generation of memory effects on hair

### **III. FOREIGN PATENT DOCUMENTS**

<b>Publication No.</b>	<b>Country</b>	<b>Publication Date</b>
DE 100 00 807 A 1	DE	19 July 2001
JP 04-041416, JPO Abstr.	JP	12 February 1992
JP 11021212 A, JPO Abstr.	JP	26 January 1999
WO 00/38553	WIPO	6 July 2000
WO 02/10243 A1	WIPO	7 February 2002
WO 2005/012389 A1	WIPO	10 February 2005

### **IV. NON PATENT PUBLICATIONS**

JP 1999-021212, Derwent Record (2 pages)  
JP 2005-529096, Derwent Record (3 pages)

Applicant: LENDLEIN, *et al.*  
Serial No.: 10/510,889  
Filed: October 8, 2004  
Supplemental Information Disclosure Statement  
August 17, 2006  
Page 3 of 3

Because no action has been taken on the merits, Applicants submit that no fee is due at this time. However, if a fee is deemed necessary, please charge Deposit Account No. 11-0171.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Tor Smeland", written over a horizontal line.

Tor Smeland  
Registration No.: 43,131  
Attorney for Applicant

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Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet	1	of	2
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**Complete if Known**

Application Number	10/510,889
Filing Date	08 October 2004
First Named Inventor	LENDLEIN, Andreas
Art Unit	1615
Examiner Name	To be assigned
Attorney Docket Number	13632PCTUS

## U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> *Number <sup>4</sup> *Kind Code <sup>5</sup> (if known)				
		DE 100 00 807 A 1	19-07-2001	BASF AG		
		JP 04-041416, JPO Abstr.	12-02-1992	NOEVIR CO LTD		
		JP11021212 A, JPO Patent Abstr.	26-01-1999	L EE ONE O ONE:KK		
		WO 00/38553	06-07-2000	KABUSHIKI KAISHA		
		WO 02/10243 A1	07-02-2002	3M INNOVATIVE		
		WO 2005/012389 A1	10-02-2005	MNEMOSCIENCE		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>			<b>Complete if Known</b>		
			Application Number	10/510,889	
			Filing Date	08 October 2004	
			First Named Inventor	LENDLEIN, Andreas	
			Art Unit	1615	
			Examiner Name	To be assigned	
Sheet	2	of	2	Attorney Docket Number	13632PCTUS

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		JP 1999-021212, Derwent Record (2 pages)	
		JP 2005-529096, Derwent Record (3 pages)	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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